



#5

PATENT  
Attorney Docket No.: ECD-0004IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.* Examiner: Not Yet Assigned  
Serial No.: 10/023,424 Group Art Unit: 1752  
Filing Date: December 12, 2001  
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

11-21-02

Date

Vanessa Marakas

Vanessa Marakas

Assistant Commissioner for Patents  
Washington, DC 20231

RECEIVED  
NOV 29 2002  
TC 1700SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 C.F.R. 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 C.F.R. 1.97(b), or  
(Within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits, whichever occurs last)
- ☐ under 37 C.F.R. 1.97(c) together with either:
- ☐ a Certification under 37 C.F.R. 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 C.F.R. 1.17(p), or  
(After the 37 C.F.R. 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 C.F.R. 1.97(d) together with:
- ☐ a Certification under 37 C.F.R. 1.97(e), as checked below, and
- ☐ a petition under 37 C.F.R. 1.97(d) (2), and
- ☐ a \$130.00 petition fee set forth in 37 C.F.R. 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/023,424

Certification Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 C.F.R. 1.98.
- ☒ The listed references were cited in the enclosed International Search Report (copy enclosed) in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☒ The "concise explanation" requirement under 36 C.F.R. 1.98(a)(3) for reference AL is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
- ☒ submission of the enclosed International Search Report in related International PCT application serial No. PCT/US01/51442.

It is requested the information disclosed herein be made of record in this application.

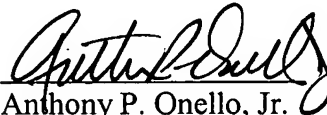
Applicant(s): John J. Hart, III, *et al.*  
Serial No.: 10/023,424

Method of payment:

- ☐ Enclosed is a check in the amount of \_\_\_\_\_.
- ☐ Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: November 27, 2002  
MILLS & ONELLO, LLP  
Eleven Beacon Street, Suite 605  
Boston, MA 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
J:\ECD\0004\suppIDSform.wpd

  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicant



GP1752 #5  
12/31/02

PATENT  
Attorney Docket No.: ECD-0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.* Examiner: Not Yet Assigned  
Serial No.: 10/023,424 Group Art Unit: 1752  
Filing Date: December 12, 2001  
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

11-21-02  
Date

Vanessa Marakas  
Vanessa Marakas

Assistant Commissioner for Patents  
Washington, DC 20231

TRANSMITTAL LETTER

RECEIVED  
NOV 29 2002  
TO 1700

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references AL-AQ and OG; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: November 21, 2002  
Mills & Onello, LLP  
Eleven Beacon Street, Suite 605  
Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
J:\ECD\0004\transsupp\IDS.wpd

Anthony P. Onello, Jr.  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants